

BEFORE THE SECURITIES AND EXCHANGE BOARD OF INDIA
ORDER

Addendum to Interim Order having Reference Number WTM/MB/WRO/WRO/5737/2019-20 dated November 26, 2019 in the matter of 3M Team Research Pvt. Ltd. and Mr. Rakesh Sethi

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1. SEBI had passed an Interim Order having reference number WTM/MB/WRO/WRO/5737/2019-20 dated November 26, 2019 (Interim Order), in the matter of 3M Team Securities Services Pvt. Ltd., a SEBI registered Investment Adviser.
 2. In the said order, in continuation of para no. 26(c) the following shall be added

“If Mr. Rakesh Sethi has any open position in any exchange traded derivative contracts, he is permitted to close out/ square off such open positions on or before expiry of such contracts.”
 3. The Addendum shall be read as part of the Order dated November 26, 2019.
 4. A copy of this Order shall be served upon entities against whom the said Interim Order has been passed and to all recognized Stock Exchanges for necessary compliance.

Date: November 27, 2019

Place: Mumbai

S. K. MOHANTY

WHOLE TIME MEMBER

SECURITIES AND EXCHANGE BOARD OF INDIA